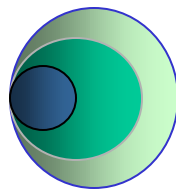


**EXPERT WORKSHOP  
ON ENVIRONMENTAL COMPLIANCE AND ENFORCEMENT INDICATORS**

**3<sup>rd</sup> - 4<sup>th</sup> November 2003**

**DRAFT ANNOATED AGENDA**



**Meeting Venue:**

**OECD Headquarters**  
2, rue Andre Pascal  
Paris, France

## **Meeting Objectives**

The INECE and the OECD, in co-operation with other partners, are organising an expert workshop to provide a forum for officials and experts to advance the development and use of environmental compliance and enforcement (ECE) indicators. The specific objectives are to:

- ❖ review the rationale, purpose and needs for using ECE indicators;
- ❖ advance the development of common definitions and indicators typology, and explore the relationships with other types of environmental indicators;
- ❖ review experience from OECD, transition and developing economies with using ECE indicators;
- ❖ discuss launching a project which will assist enforcement agencies in designing ECE indicators and using them for agencies' performance assessment and communication with policy-makers and the public.

## **Background**

Information about compliance control and enforcement actions and their results can be a powerful instrument for assuring and stimulating compliance with environmental requirements. Over the years, compliance assurance programmes evolved, as did demands for reliable, harmonised and easily understandable information not only by environmental policy makers but also by other public authorities, businesses, the general public, environmental NGOs and other stakeholders. In this regard enforcement and compliance indicators should be considered as cost-effective and valuable tools for providing information that is more responsive to policy needs and public information requirements.

Indicators of compliance programme activities and their impacts can help to respond to increased demands to demonstrate results of government activities by assisting in evaluating and adjusting approaches to changing conditions. The ultimate aim of these adjustments is the improvement of programmes' effectiveness. Disclosing performance information and indicators can ensure internal and external accountability which helps to create deterrence. By demonstrating the value of activities and the results, policy relevant, analytically sound and measurable information can ensure public and political support for the compliance assurance programme.

As experience with using enforcement and compliance indicators accumulate needs have been expressed to develop common definitions, analyse design and implementation issues in different country specific contexts and build capacities of the transition and developing countries to apply these indicators. Enforcement and compliance indicators are part of environmental policy framework and their consideration will benefit from drawing on an extensive work which has been done on developing environmental indicators, in particular the OECD conceptual framework of the Pressure-State-Response (PSR).

The workshop also aims to launch an INECE worldwide project which will:

- ❖ strengthen demand and capacity for performance assessment of environmental compliance and enforcement activities in individual countries;
- ❖ establish procedures for developing and using both quantitative and qualitative indicators, with regular feedback to managers, political leaders and legislature;
- ❖ stimulate co-operation projects between INECE participants to develop and apply in practice enforcement and compliance indicators, and
- ❖ promote international harmonisation of environmental compliance and enforcement indicators in order to facilitate reporting on national, regional and global progress towards sustainable development.

## **Agenda and Background Documents**

The annotated agenda of the meeting is attached. The discussion at the meeting is supported by a Discussion Paper on Enforcement and Compliance Indicators, which presents the key considerations in the development and application of environmental compliance and enforcement indicators. At the meeting Country Reports on the Use of Enforcement and Compliance Indicators will be distributed together with a note on proposed further steps on promoting the wider use of enforcement and compliance indicators. The documents are posted on the meeting web site: [www.oecd.org/env/outreach](http://www.oecd.org/env/outreach) and [www.inece.org](http://www.inece.org).

## **Participants, Timing and Venue**

The event will gather around 50 participants, including personally invited officials and experts working day-to-day on environmental compliance and enforcement in OECD countries as well as in the countries of Central and South America, Central and Eastern Europe, Asia and Africa. Experts working on environmental indicators in OECD countries will also be invited along with the representatives of international organisation and programmes active in enforcement and compliance. Representatives of non-governmental organisations are also invited to take part in the workshop.

The meeting will be held on 3<sup>rd</sup> and 4<sup>th</sup> November, 2003 in Room D of the OECD Headquarters in Paris, starting at 9:30 on the first day. Additional logistical information is presented in a separate note.

## **Contact persons**

For additional information about the meeting please contact:

### OECD

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### INECE

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**INECE – OECD  
EXPERT WORKSHOP  
ON ENVIRONMENTAL COMPLIANCE AND ENFORCEMENT INDICATORS**

3<sup>rd</sup> - 4<sup>th</sup> November 2003, OECD Headquarters, Paris, France

**DRAFT AGENDA**

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**Monday, 3<sup>rd</sup> November**

**9:30 – 10:35**

**OPENING SESSION**

*Chair: Kenneth Ruffing*

**9:30 – 10:00** Welcome Remarks by OECD and INECE Secretariats

**Kenneth Ruffing**, Deputy Director, Environment Directorate, OECD

**Durwood Zaelke**, Director, INECE Secretariat

**10:00 – 10:15** Presentation of the Draft Agenda and Logistics

**Krzysztof Michalak**, Environment Directorate, OECD

**10:15 – 10:35** Presentation of the Participants and their Expectations from the Meeting

**10:35 – 11:45**

**SESSION 1: ENFORCEMENT AND COMPLIANCE INDICATORS – AN OVERVIEW**

**10:35 – 10:55** Methodological, design and implementation aspects of Enforcement and Compliance Indicators (ECE) – presentation of the Discussion Paper for the Workshop: “Measuring What Matters”

*Lead Presentation:*

**Frank Barrett**, Environment Canada

**10:55 – 11:30** Open Discussion

**11:30 – 11:45** *Coffee/Tea Break*

**11:45 – 13:00**

**SESSION 2: DESIGN AND APPLICATION OF ENFORCEMENT AND COMPLIANCE INDICATORS**

**11:45-12:30** Question 1: What compliance and enforcement indicators are presently being used in measuring your compliance and enforcement program?

*Lead Presentations:*

**Michael Stahl**, Environmental Protection Agency, United States

**Vladimir Schwartz**, Ministry of Natural Resources, Russian Federation

**Paul Bernaert**, Flemish Environment Inspection Section, Belgium

**12:30 – 13:00** Open Discussion

**13:00-15:00** *Lunch Break*

**15:00 – 16:30**

**SESSION 3: USE OF INDICATORS FOR PERFORMANCE ASSESSMENT**

*Chair: Slajana Miocic, Croatia*

**15:00 – 15:30** Question 2: Are there any on-going programmes that aim to develop more sophisticated performance indicators? What are the key elements of such programmes? What data and information systems do you have available for developing ECE indications?

*Lead Presentations:*

**Pavel Sremer**, State Environmental Inspectorate, Czech Republic

**Karin Krchnak**, World Resources Institute

**15:30 – 16:15** Open Discussion on Experience and Lessons Learned

**16:15 – 16:30** *Coffee/Tea Break*

**16:30 – 18:00**

**SESSION 4: USE OF INDICATORS FOR DECISION MAKING**

**16:30 – 17:00** Question 3: How are performance indicators being used for management decision-making?

*Lead Presentations:*

**Jan van der Paas**, Inspectorate for Housing, Spatial Planning and Environment, the Netherlands

**Marek Mroczkowski**, State Environmental Inspectorate, Poland

**17:00 – 18:00** Open Discussion on Experience and Lessons Learned

**18:00 – 20:00** *Cocktail: Marshall Room*

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**Tuesday, 4<sup>th</sup> November**

**9:30 – 11:00**

**SESSION 5: USE OF INDICATORS FOR COMMUNICATION WITH STAKEHOLDERS**

*Chair: Adriana Bianchi, World Bank Institute*

**9:30 – 10:15** Question 4: What stakeholders in your country are most interested in developing ECE indicators?

*Lead Presentations:*

**Tom Stafford**, Environmental Protection Agency, Ireland

**Alejandra Goyenechea Orellana**, Federal Department of Environmental Protection, Mexico

**Lars Mortensen**, European Environment Agency

**10:15 – 11:00** Open Discussion on Experience and Lessons Learned

**11:00 – 11:15** *Coffee/Tea Break*

**11:15 – 13:00**

**SESSION 6: KEY ISSUES IN DEVELOPING ENFORCEMENT AND COMPLIANCE INDICATORS:  
DEVELOPMENT OF COUNTRY PILOT PROJECTS**

**11:15 – 12:00** Question 5: What are the main challenges to overcome to begin implementing ECE indicator pilot projects in your country? What pilot projects would you like to develop with international partners?

*Lead Presentations:*

**Nilvo Luiz Alves da Silva**, Brazilian Institute for Environment and Renewable Resources, Brazil and **Adriana Bianchi**, World Bank Institute

**Babu Sangupta**, Central Pollution Control Board, India

**Wilson Tamakloe**, Ghana

**12:00 - 13:00** Open Discussion

**13:00 – 15:00** *Lunch Break*

15:00 -17:00

**SESSION 6 (CONT.): KEY ISSUES IN DEVELOPING ENFORCEMENT AND COMPLIANCE INDICATORS: DEVELOPMENT OF COUNTRY PILOT PROJECTS**

*Chair: John Seager, UK*

15:00 – 15:45 Question 5: What are the main challenges to overcome to begin implementing ECE indicator pilot projects in your country? What pilot projects would you like to develop with international partners?

*Lead Presentations:*

**Sladjana Miodic**, Ministry of Environmental Protection and Physical Planning  
Croatia

**Maria Eugenia di Paola**, Environment and Natural Resources Foundation,  
Argentina

**Dave Pascoe**, Environment Canada

15:45 – 17:00 Open Discussion

17:00 – 18:00

**SESSION 7: FURTHER STEPS IN THE INECE-OECD PROJECT ON ENFORCEMENT AND COMPLIANCE INDICATORS**

17:00 – 17:15 Summary of the discussions and the presentation of the proposed elements of the future work programme, including pilot projects.

*Lead Presentation:*

**Kenneth Markowitz**, INECE Secretariat

17:15 – 18:00 Open discussion

18:00

**CLOSURE OF THE MEETING**

**Myriam Linster**, Environment Directorate, OECD

**Durwood Zaelke**, INECE Secretariat